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Contents

- **Support of Informal Carers for People After a Stroke with Crowdsourcing and Natural Language Processing**
ŠALOUN, P. — CIGÁNKOVÁ, B. — ANDREŠIČ, D. — KRHUTOVÁ, L.
- **PI Controller for Controlling a Three-Phase Inverter of a PV System Connected to the Electrical Network**
BOURI, S. — BOUDAUD, T. — BOUDJELAL, T.
- **Thermal Simulation of CNC Milling Machine Drives**
FECKO, B. — VINCE, T.
- **The Identification of Induction Motor Internal Quantities**
FEDOR, M. — PERDUKOVA, D.
- **Therapy of Upper Limbs by Means of Virtual Reality Technologies**
SOBOTA, B. — KOREČKO, Š. — JAVORKOVÁ, S. — HUDÁK, M.
- **Thermal Analysis of High-Current Electric Contact**
MEDVEĎ, D. — PRESADA, J.

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CONTENTS

Support of Informal Carers for People After a Stroke with Crowdsourcing and Natural Language Processing <i>ŠALOUN, P. — CIGÁNKOVÁ, B. — ANDREŠIČ, D. — KRHUTOVÁ, L.</i>	3
PI Controller for Controlling a Three-Phase Inverter of a PV System Connected to the Electrical Network <i>BOURI, S. — BOUDAUD, T. — BOUDJELAL, T.</i>	11
Thermal Simulation of CNC Milling Machine Drives <i>FECKO, B. — VINCE, T.</i>	19
The Identification of Induction Motor Internal Quantities <i>FEDOR, M. — PERDUKOVA, D.</i>	24
Therapy of Upper Limbs by Means of Virtual Reality Technologies <i>SOBOTA, B. — KOREČKO, Š. — JAVORKOVÁ, S. — HUDÁK, M.</i>	30
Thermal Analysis of High-Current Electric Contact <i>MEDVEĎ, D. — PRESADA, J.</i>	38
Instructions for Authors	43
Abstracting & Indexing	45

Acta Electrotechnica et Informatica

Volume 1, No. 7, 1981

Editorial Board: ...

CONTENTS

1. ...

2. ...

3. ...

SUPPORT OF INFORMAL CARERS FOR PEOPLE AFTER A STROKE WITH CROWDSOURCING AND NATURAL LANGUAGE PROCESSING

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ABSTRACT

For a long time, both professionals and the lay public showed little interest in informal carers. Yet these people deal with multiple and common issues in their everyday lives. As the population is aging we can observe a change of this attitude. And thanks to the advances in computer science, we can offer them some effective assistance and support by providing necessary information and connecting them with both professional and lay public community.

In this work we describe a project called “Research and development of support networks and information systems for informal carers for persons after stroke” producing an information system visible to public as a web portal. It does not provide just simple a set of information but using means of artificial intelligence, text document classification and crowdsourcing further improving its accuracy, it also provides means of effective visualization and navigation over the content made by most by the community itself and personalized on a level of informal carer’s phase of the care-taking timeline.

It can be beneficial for informal carers as it allows to find a content specific to their current situation. This work describes our approach to classification of text documents and its improvement through crowdsourcing. Its goal is to test text documents classifier based on documents similarity measured by N-grams method and to design evaluation and crowdsourcing-based classification improvement mechanism. Interface for crowdsourcing was created using CMS WordPress. In addition to data collection, the purpose of interface is to evaluate classification accuracy, which leads to extension of classifier test data set, thus the classification is more successful.

Keywords: Classification, Text documents, Natural language processing, Documents similarity, N-grams, Crowdsourcing, WordPress, Caretaker, Stroke.

1. INTRODUCTION

Informal carers deal with many difficult situations when care-taking their relatives. Today we can utilize the technology and computers to support them by providing a specific, personalized content and information. We created a web portal providing all necessary information which should help them to improve their care-taking, but it requires a meaningful and effective navigation over the content made from the most by carers themselves. We face issues like differences between professional and laical nomenclature which we attempt to address using specific language mean ment for navigation over the content called tag cloud. In addition to this, we also provide visual mean attempting to place the carer to a correct position on a care-taking timeline. It is all implemented in a web server running WordPress CMS. The result will be hand-overed to a non-profit organization supporting the target group of informal carers. The project connected beneficiaries of the care-taking and their organization with IT specialists in order to improve their support and quality of life.

In our effort we utilize so-called natural language processing (NLP), which is usually used in for example information extraction tasks or text classification, where it helps to automate and speed up the classification process. Despite the progress in text classification, humans are usually still more accurate, which opens a space for human-assisted classification, e.g. by means of having human as a

reference system. Here, it is also possible to use the collective intelligence of multiple people for such task, which is called crowdsourcing that is experiencing boom in the last years.

In this work, we briefly describe our experience and summarize our previous results of Czech, Slovak and English text documents classification which serve as a base for this work [42]. We also summarize crowdsourcing advantages, methodology and comparison with other approaches.

The aim of the experimental project of agile software development called “Research and development of support networks and information systems for informal carers for persons after stroke” is to create an information systems (IS) for informal carers for a person after stroke (ICs) using modern information technology that allows the users to gain relevant, timely and interconnected information on support networks for prevention of their possible social isolation and exclusion, physical and psychological exhaustion, health disorders and other risk factors associated with their difficult life situation. The developed IS will also help improve awareness of ICs support systems across other long-term care providers – in particular public administrators, general practitioners etc. Intent of the project is to create and validate the pilot IS IC model in Moravian-Silesian Region by 12/2021, which can subsequently be applied in other regions and / or other target IC groups.

1.1. Informal Carers

For a long time, both professionals and the lay public showed little interest in informal carers. The change in the attitude to informal carers which we are witnessing during the last decades is primarily – although not exclusively – caused by the urgent need for a solution to the demographic trends of population ageing. In parallel with the situation in other countries, informal carers in the Czech Republic have neither been sufficiently identified, nor systematically supported. “While it is possible to define other focus groups by a certain social event (such as maternity by giving birth) or socio-economic characteristics (such as age in senior citizens or lack of employment in the unemployed), caregivers are a group that is largely non-demarcated/undefined.” [29]

This is reflected also in the area of terminological definition of informal care and informal carers, this area is highly divergent and differs in the depth and the breadth of the definition concepts, in the purposes of the definitions, in the definition criteria chosen as well as the in the fields in which (or for which) the terminology is defined. For the purposes of this paper, we will proceed from the similarities found in these definitions. In the absence of terminological consensus (if it can ever be achieved), terminological definitions of informal care – and hence of informal carers – usually have the following in common: “. . . informal care involves lay [. . .] care conducted without any specific professional education, without financial remuneration and outside of one’s employment, and is accompanied with a high degree of emotional involvement.” [32]. Concurrently, lay care may include the involvement of both family members as well as friends, neighbours, acquaintances or colleagues and the like.

One of the key factors with regard to support provided to informal carers is how well informed these carers are. This information process is often marred by obstacles [30] [31] as carers are exposed to the deficits of an “invisible group”, and it is not infrequent that information reaches them in a haphazard manner. These people are not always aware of the fact that as a result of their caregiving, they themselves may belong to a group of people whose physical, psychical, social or economic, etc. health may be at risk. For a number of reasons, unlike care recipients themselves (i.e. people who suffered CVA in our case, or children with a disability and the like), informal carers do not set up formalised associations. With the exception of a type of sickness benefit recently introduced in the Czech Republic under the name “long-term care benefit”, informal carers are usually not visible both for the formal systems of support and from the perspective of their potential to form self-help groups. Among other problems, this also significantly hinders research in this area, or as the case may be, identification and searching for potential research respondents.

Informal carers have become the ever-more frequent object of professional investigation (for instance [32] and [33]). Research in this area deals with the informal carers’ contribution to the economy [34], or attempts to quantify how well informed carers are [35] [36], it also focuses on the quality of their life [37], satisfaction [38], their situation on the labour market [39], how effective intervention is in reducing their strain [40], or with their emotions and stress

[41]. Research which is relevant in relation to the focus group of our project and of this paper furthermore includes research focusing on informal carers in the context of caring for people after Cerebrovascular Accidents [24] [25] [26] [27] [28].

2. TEXT DOCUMENT CLASSIFICATION AND CROWDSOURCING

The main goal of text classification is to assign the given text to some of the pre-defined classes. In the area of text mining, it is also a process of automatic learning of categorization schemas used for direct classification of new, uncategorized documents [1]. Some approaches use different forms of document similarity metric, such as cosine similarity. This metric is then used in learning as well in classification phases. Before the classification itself, it is necessary to perform two steps:

- Transformation of the document to a form that can be parsed. This includes removal of stop words, tags and other pre-processing (see section 6). –
- Extraction of text properties that are then evaluated and their weight is calculated. These properties are then represented as vectors describing a presence of words or syntactic unit [1]. Many classifiers use a bag-of-words (BOW) approach for text representation [1]. It is a simplified text representation used mostly for NLP and information extraction where the document is transformed to a set of individual words without grammar structures and words order, but still containing possible words duplicity. During the classification, an occurrence frequency for each word in the bag is calculated so it can be then used as an input for classifier during training.

Today classifiers use either statistical approaches or machine learning and can be divided into two categories: supervised and unsupervised. Further text in this section describes today most common algorithms such as decision trees, N-grams, artificial neural networks and Bayes classifier [1].

2.1. Naive Bayes Classifier

Naive Bayes Classifier is a probability-based classifier built on top of Bayes theorem (described for example in [2]) saying how conditional probability of some event relates to an opposite conditional probability. Bayes classifier assumes that presence or absence of some attribute of the given class is not dependent on presence or absence of some other attribute [1]. The advantage of Bayes classifier is that it performs well with smaller training data set to determine statistical parameters.

2.2. TF-IDF

Term Frequency-Inverse Document Frequency (TF-IDF) is often used for term-weighting (evaluation of individual text attributes). It is a statistical metric that measure an importance of words in the given document [3]. Term Frequency stands for count of the given word in the document divided by the total count of all words in the

document. This normalization is done to eliminate the advantage of long documents in such calculation. The Inverse Document Frequency represents the importance of individual words. It is characterized as a logarithm of count of all documents divided by count of documents containing the given word [3]:

- $TF(t) = (\text{count of } t \text{ in the document}) / (\text{total count of words in the document})$
- $IDF(t) = (\text{total count of documents} / \text{count of documents that contain the } t)$

Matching documents will then have a high frequency of the given word that is also not so much present in other documents. One of the major disadvantages of TF-IDF is its ignorance of key semantic connections between words because it compares documents only based on frequency of individual words. Still, different variations of TF-IDF are often used in search engines for document ranking [1].

2.3. Latent Semantic Analysis (LSA)

LSA (also known as Latent Semantic Indexing - LSI) is a technique used for NLP. It is based on analysis of relationship between set of documents and words contained in them. In contrast to classic natural language processing or artificial intelligence approaches, LSA is not using any human-created dictionary, knowledge base, grammar or syntactic parser. The input of LSA is just a text divided into meaningful parts such as sentences or paragraphs [4]. LSA uses mathematical approach called Singular Value Decomposition (SVD). It is a method of linear algebra in which a regular matrix is decomposed to 3 smaller matrices such that matrix multiplication of these matrices must return the original matrix. The whole process is described for example in [5].

2.4. Support Vector Machines

Support Vector Machine (SVM) is a supervised machine learning method that is usually used in binary classification and regression analysis. It is based on a concept of decision planes that defines decision borders [6]. SVM uses a mechanism called hyperplanes in multidimensional space which divides objects of individual classes. The main idea of SVM is to allow linear division of objects of different classes using object transformation that is being done by mathematical functions called kernel function [6]. It is then crucial to find the most fitting hyperplane (plane with maximal margin), that is, find the place in which the the distance between closest points to the plane is as large as possible. In order to describe the hyperplane, we need just points that lies at the edge of maximal margin. These points are called support vectors [7]. Other points are not relevant to the hyperplane. SVM method is therefore capable to find those training samples which are most relevant to finding the hyperplane. The size of the training set required for classifier learning is therefore much smaller [7]. We recognize several types of SVM that differ by used iterative algorithm for error

function minimization. They are described for example in [6].

2.5. N-grams

N-gram is defined as a tuple of N items that belongs to some sequence of e.g. words or characters. Sequence of two items is called bigram, sequence of three items then trigram. From four, it is called generally as N-gram. N-grams are usually used for text representation where words are used in the sequence. Another possible usage is document classification based on document similarity. During the classification, sequence of e.g. characters is used. The beginning and the end of the word is then marked by some special character such as underscore [8].

In general, a set of N-grams for a string of length k will contain k+1 N-grams. Great advantage of classification using N-grams is its independence on document language, because there is no need for text pre-processing such as stemming or lemmatization. It is also quite tolerant to grammar errors and typos.

On the other hand, a large number of generated N-grams can be considered as a drawback. On the other hand, this can be reduced by e.g. removing stop words or by using stemming or lemmatization (or some other text length reduction), but by doing this, we lose the advantage of language independence.

In [20] authors for example used character N-grams and unigram indices for Twitter tweets classification. They confirmed language independence but also conclude that although character n-grams of 4-6 characters length leads to classification models with decent performance, the manually indicated tokens (a.k.a. crowdtagging) combined with a Decision Tree classifier outperform any other feature set-classification algorithm combination [20].

3. CROWDSOURCING

The concept of crowdsourcing can be defined as a business practice where the given activity is outsourced to a crowd [14]. Another definition can be found in [15] where author says that crowdsourcing represents the act of a company or institution taking a function once performed by employees and outsourcing it to an undefined (and generally large) network of people in the form of an open call. The most important part of this definition is the undefined network of people. Everyone can then get task assigned to him or her. The only selection that is done in such process is selection of achieved results. Results are also often just aggregated.

Crowdsourcing theoretical roots were defined in [22]. It is based on an idea of collective intelligence. This concept can be understood as "all together we are smarter than just one of us" [16]. It is a concept also known as wisdom of the crowd. In [14] authors attempts to answer the 8 basic questions about crowdsourcing. As for advantages of crowdsourcing, we can name for example releasing core company employees for other work and lower expenses. A nice description of crowdsourcing pros can be found in [17]. One of the most difficult tasks in crowdsourcing usage is finding the right crowd motivation [18].

3.1. Examples of Use

Several large companies such as Waze, Lego, Samsung, Lays or Greenpeace successfully used the crowdsourcing in real world applications [23]. In [20] authors used crowdsourcing to obtain tokens for sentiment analysis of tweets and used them as a feature set which turned out to perform best in compare to other feature sets established by other means (e.g. N-grams). Similarly in [21] authors compared various kinds of low-level features, including those extracted through deep learning and conclude that keywords suggested by the crowd (called crowd lexicon herein that are based on crowdtagging), established through a crowd-sourcing platform can be effectively used for training sentiment classification models for short texts (tweets and Facebook comments) and that those models are at least as effective as the ones that are developed through deep learning or even better [21].

4. PROOF OF CONCEPT RESULTS

Our classifier was tested on two data sets and then briefly with use of crowd-sourcing.

4.1. Data Sets

Both data sets contained X text documents in Y classes. Each was then split into training and test set. After processing of each set, the classification accuracy was evaluated.

4.1.1. Language Data Sets

As mentioned in [42], our first data set contained technical texts from DATAKON conferences in different languages. The aim of this data set was to confirm language independence of the classifier. We used Czech, Slovak and English texts here. Each category contained 40 texts with 60 up to 20 words. Both training and test data set contained 20 texts for each class. Our classifier successfully classified all 60 documents in test data set. Perfect accuracy was expected for English, but we expected worse numbers for Czech and Slovak that are very similar to each other. The language independence of N-grams method was therefore confirmed.

4.1.2. Psychological Data Set

Contrary to the first data set, this data set contains not so balanced count of texts for each class. Its aim was to investigate how the classifier will perform with not so well structured data. Also, these texts contains psychological topic. They are sorted to classes which borders are not so

clear as in case of previous data set. These texts are often difficult to classify even by human. The expected accuracy of classification therefore was not high. The data set contained 87 documents in 3 classes. As discussed in [42], there was one misclassified document in each class. In general, these errors were related to documents difficult to be classified even by human as they contain several topics at once.

4.1.3. Crowdsourcing

The classifier accuracy was also tested by implemented crowd-sourcing interface. Our crowd contained people from OSU¹ and VŠB-TUO² universities. Topics of contributions inserted into the interface were suggested as life of non-formal care takers and its influencing as a consequence of care taking. This resulted into 3 classes [42]. Training set was provided by OSU. It consisted 180 one- or two-sentence texts classified into 4 categories. Crowd that creates texts for classification (and also performing classification accuracy testing) consisted from students of Faculty of Medicine of OSU. During a test phase, correct class was assigned to the text in case of error. We suggested an approach to extend the training data set by incorrectly classified contributions and observed an increasing trend of classification accuracy, yet on a very small example.

5. REAL-WORLD APPLICATION FOR INFORMAL CARERS

We utilized our approach in a real-world application for informal carers. The idea is to classify each new user post to one of the 4 pre-defined classes corresponding to identified phases of informal carers timeline:

1. The initial shock - first encounter with a stroke.
2. The time of the acute care.
3. The time of the post-acute care (rehabilitation).
4. The time of home care-taking.

These new posts will be automatically classified using the N-grams algorithm and then the user will be kindly asked to correct the class if necessary. Misclassified posts will then be used to extend the training set and re-training the classification model. Key words of each class will then be displayed in a tag cloud (see Fig. 1) where each tag (key word) will lead to a list of articles and posts related to its class (see Fig. 2) so the user can access the content and find useful information related to his/her particular phase of the informal carer's timeline.

Currently we achieve 50% classification accuracy using very small training data set made of the timeline description and initial moderated discussion. We expect that further learning using crowdsourcing as well as extension of stop words dictionary will increase the classification accuracy.

¹ <https://www.osu.cz/>

² <https://www.vsb.cz/>

class at the position 300 (as suggested in [8]) but it is possible to start from the beginning of the list. Also, our classifier works with longer profiles, mostly because of planned classification of psychological text. Their classes have usually a very thin border so we can expect the need of more N-grams [42].

Beside the classification, our application also determines key words of each class. These key words will then be displayed to selected users with a kind request to use them in their contribution. By showing key words only to some users, we create two user groups that will serve as referential groups to confirm the following hypothesis: classification will perform better if contributions for classification contain pre-defined key words.

The calculation of key words is realized by TF-IDF algorithm modified (in respect to [19]) to class purpose. The calculation will look like following:

$$TF_{ij} = \frac{n_{ij}}{\sum_k n_{kj}} \quad (1)$$

where n_{ij} is frequency of term i in documents of class j .

$$IDF_i = \log\left(\frac{|D|}{|d:t_i \in d|}\right) \quad (2)$$

where t_i is term and D is set of all classes. TF_{ij} is quotient of term frequency n_{ij} to count of all word in documents of the given class. IDF_i is then logarithm of quotient of classes count to count of classes containing term t_i .

Five key words with greatest weight per category from those obtained by this method are selected and stored to database. We distinguish several user roles for crowdsourcing user interface. Logged users can insert their contributions (a.k.a. posts) where some of them will be kindly asked to use pre-selected keywords based on selected contribution category. These contributions will be then classified by our classifier and in case of discrepancy, user can correct the category. Such contribution will then be added to the training set (by Editor via manual data synchronization) in order to improve classifier's accuracy.

8. CONCLUSIONS

The aim of this work was to create a text document classifier based on text document similarity with further usage of crowdsourcing in order to increase classification accuracy as a mean of support of informal carers for people after a stroke. After an analysis of classification algorithms, N-grams algorithm was chosen, mainly for its language independence but also for its easy implementation. The classifier was then connected with the crowdsourcing interface of the web portal built on top of WordPress. The accuracy was tested on two data sets and then by crowdsourcing interface proving its language independence and mis-classifying only border-line cases difficult even for a human. Eventually, classifier accuracy was left to the users themselves using our crowdsourcing interface. In order to improve the accuracy, extending the training data set (especially with incorrectly classified texts) was implemented.

The web portal was launched in 2021 and the project itself connected beneficiaries of the care-taking and their organization with IT specialists in order to improve their support and quality of life. It provides a clear visual timeline of informal carer's phases with necessary

information and effective navigation to content made by other informal carers from the same phase of the timeline.

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